

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 047991-5012
International Application. No.	International Filing Date	U.S. Application No. <b>10/501711</b> Unassigned
PCT/JP03/00307	January 16, 2003	Priority Date Claimed January 18, 2002

Title of Invention: **LIGHT SOURCE DEVICE**

Applicants For EO/EO/US: **Tomoyoshi YAMASHITA and Issei CHIBA**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
  2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
  3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(I).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☐ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A copy of the International Application as filed has been transmitted by the International Bureau.
  7. ☒ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
  8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  10. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
  11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).
- Items 12. to 15. below concern other document(s) or information included:**
12. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
  13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
  14. ☒ A FIRST preliminary amendment.
  15. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  15. ☒ Other items or information: a. PCT Cover page of WO 03/069222,  
b. PCT/ISA/210 (International Search Report)

U.S. APPLICATION NO. | INTERNATIONAL APPLICATION NO. | ATTORNEY DOCKET NUMBER

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16. ☒ The following fees are submitted:  
**Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):**  
 Search Report has been prepared by the EPO or JPO.....\$920.00  
 International preliminary examination fee paid to  
 USPTO (37 C.F.R. § 1.482).....\$730.00  
 No international preliminary examination fee paid to  
 USPTO (37 C.F.R. § 1.482) but international search fee  
 paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$770.00  
 Neither international preliminary examination fee  
 (37 C.F.R. § 1.482) nor international search fee  
 (37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,080.00  
 International preliminary examination fee paid to USPTO  
 (37 C.F.R. § 1.482) and all claims satisfied provisions  
 of PCT Article 33(2)-(4).....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$920.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☐ 30 months from the earliest claimed priority date

(37 C.F.R. § 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	85- 20 =	65	X \$18.00	<b>\$1,170.00</b>
Independent Claims	6- 3 =	3	X \$86.00	<b>\$ 258.00</b>
Multiple dependent claim(s) (if applicable)			+ \$290.00	<b>\$ 290.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 2,638.00</b>
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
<b>SUBTOTAL =</b>				<b>\$2,638.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 2,638.00</b>
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				<b>\$ 40.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$</b>
Amount to be refunded				\$
Amount to be charged				<b>\$2,678.00</b>

- a. ☐ check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.  
 b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of **\$2,678.00**  
 to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized  
 by this paper to charge any additional fees during the entire pendency of this application  
 including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any  
 overpayment to Deposit Account No. 50-0310.

Date: July 19, 2004

Customer No. 09629

SEND ALL CORRESPONDENCE TO:

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